

The Local Government Ombudsman's Annual Letter South Holland District Council

for the year ended 31 March 2007

The Local Government Ombudsman (LGO) investigates complaints by members of the public who consider that they have been caused injustice through administrative fault by local authorities and certain other bodies. The LGO also uses the findings from investigation work to help authorities provide better public services through initiatives such as special reports, training and annual letters.

Annual Letter 2006/07 - Introduction

This annual letter provides a summary of the complaints we have received about your authority. Where possible, we comment on the authority's performance and complaint-handling arrangements to assist with your service improvement.

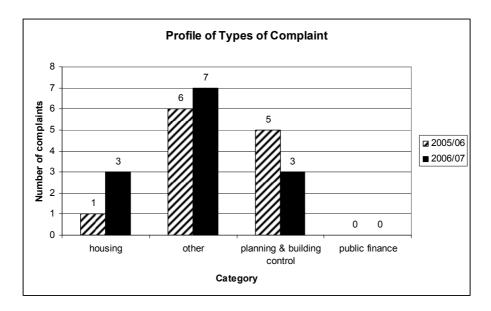
I hope that the letter will be a useful addition to other information your authority holds on how people experience or perceive your services.

Two attachments form an integral part of this letter: statistical data covering a three year period and a note to help the interpretation of the statistics.

Complaints received

In the twelve months ending 31 March 2007, I received 13 complaints about your Council, just one more than the previous year.

As you can see from this chart, the profile and number of complaints received in 2006/07 are very similar to 2005/06.



Clearly, from such small numbers I am unable to draw meaningful conclusions about the Council's services but the Council may wish to reflect on the information in the context of feedback from its own complaints procedure to consider if there are more helpful trends or conclusions.

Decisions on complaints

Reports and local settlements

A 'local settlement' is a complaint that is resolved by the Council taking, or agreeing to take, action which we consider is a satisfactory response to the complaint so that the investigation can be discontinued. In 2006/07 27.7% of complaints dealt with by the three Local Government Ombudsmen (excluding premature and those outside jurisdiction) were resolved by local settlement. When we complete an investigation we must issue a report.

I did not issue any reports about your Council over the twelve months.

The two complaints on which we agreed local settlements did not give me cause for concern or indicate underlying issues with the Council's general approach.

Decisions

In addition to the two local settlements, I made decisions on 11 complaints. This figure differs from the number of complaints received because of work in hand at the start and finish of the year.

Of those 11 decisions: two I exercised discretion not to investigate, in four I found no evidence of maladministration and five were 'premature' (in our view the Council had not been given adequate opportunity to investigate and resolve them for itself, so were returned to the Council to consider through its internal complaint procedure).

Other findings

As you are aware, we ask for comprehensive responses to our enquiries within 28 days. I am pleased to say your Council responded in a little over 22 days on average - a continuation of excellent response time of previous years. I am grateful to your Council for its continuing cooperation in this respect.

Your Council's complaints procedure and handling of complaints

None of the complaints I have investigated have given any cause for concern about your Council's approach to complaint handling, quite the opposite. In both of the complaints that were locally settled, your Council demonstrated willingness to admit and learn from errors and to put matters right without prompting. This approach demonstrates good customer focus and something I urge the Council to continue with in its own complaints handling.

I am also pleased to see that your Council publishes clear information and advice about how to make complaints, and in it makes reference to the LGO.

Training in complaint handling

Part of our role is to provide advice and guidance about good administrative practice. We offer training courses for all levels of local authority staff in complaints handling and investigation. The feedback from councils that have taken up the training is very positive.

The range of courses is expanding in response to demand and in addition to the generic Good Complaint Handing (identifying and processing complaints) and Effective Complaint Handling (investigation and resolution), we can run open courses for groups of staff from smaller authorities and also customise courses to meet your Council's specific requirements.

All courses are presented by an experienced investigator so participants benefit from their knowledge and expertise of complaint handling.

I have enclosed some information on the full range of courses available together with contact details for enquiries and any further bookings.

Liaison with the Local Government Ombudsman

The approach to settling complaints, speed with which responses are sent to us and complete lack of reported problems all confirm my view that liaison arrangements between our two organizations work effectively.

LGO developments

You may be interested in the development of our initiative to improve the first contact that people have with us. A new Access and Advice Service will provide a gateway to our services for all complainants and enquirers. It will encourage telephone contact but will also deal with email, text and letter correspondence. We will let you have further details about how it will operate and the expected timescales and discuss with you the implications for the Council.

I hope you have received our latest special report about telecommunications masts. It draws on our experience of dealing with complaints about planning applications for masts which can be highly controversial. We recommend simple measures that councils can adopt to minimise the chances of maladministration occurring.

In July we will be publishing a special report about the difficulties that can be encountered with complaints when local authorities deliver services or discharge their functions through partnerships. *Local partnerships and citizen* redress provides advice and guidance on how these problems can be overcome by good governance arrangements that include an effective complaints protocol.

Conclusions and general observations

I welcome this opportunity to comment on our experience of complaints about the Council over the past year. I hope that you find the information and assessment provided useful when seeking improvements to your Council's services.

Anne Seex Local Government Ombudsman Beverley House 17 Shipton Road York YO30 5FZ

June 2007

Encs: Statistical data

Note on interpretation of statistics

Leaflet on training courses (with posted copy only)

Complaints received by subject area	Housing	Other	Planning & building control	Public finance	Total
01/04/2006 - 31/03/2007	3	7	3	0	13
2005 / 2006	1	6	5	0	12
2004 / 2005	1	4	0	1	6

Note: these figures will include complaints that were made prematurely to the Ombudsman and which we referred back to the authority for consideration.

Decisions	MI reps	LS	M reps	NM reps	No mal	Omb disc	Outside jurisdiction	Premature complaints	Total excl premature	Total
01/04/2006 - 31/03/2007	0	2	0	0	4	2	0	5	8	13
2005 / 2006	0	1	0	0	3	2	0	4	6	10
2004 / 2005	0	1	0	0	1	1	1	3	4	7

See attached notes for an explanation of the headings in this table.

	FIRST ENQUIRIES				
Response times	No. of First Enquiries	Avg no. of days to respond			
01/04/2006 - 31/03/2007	3	22.7			
2005 / 2006	5	22.4			
2004 / 2005	1	23.0			

Average local authority response times 01/04/2006 to 31/03/2007

Types of authority	<= 28 days	29 - 35 days	> = 36 days
	%	%	%
District Councils	48.9	23.4	27.7
Unitary Authorities	30.4	37.0	32.6
Metropolitan Authorities	38.9	41.7	19.4
County Councils	47.1	32.3	20.6
London Boroughs	39.4	33.3	27.3
National Park Authorities	66.7	33.3	0.0

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